



500.39879X00

Section 4
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): T. KOBAYASHI, et al
Serial No.: 09/811,444
Filed: March 20, 2001
For: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE AND
PROCESS FOR PRODUCING THE SAME
Attention: APPLICATION DIVISION

REPLY TO OFFICE LETTER

Commissioner for Patents
Washington, D.C. 20231

June 6, 2001

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated May 23, 2001, in connection with the above-identified application, attached hereto is an executed Declaration and Assignment for Patent Application in compliance with 37 CFR 1.63. In addition, a payment in the amount of \$170.00 to cover the required surcharge for filing the declaration and assignment is also attached, in accordance with 37 CFR 1.16.

Please charge any shortages in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (500.39879X00) and please credit any excess fees to such deposit account.

Respectfully submitted,

Gregory E. Montone
Registration No. 28, 141
ANTONELLI, TERRY, STOUT & KRAUS, LLP

GEM/gfa
Attachment(s)
(703) 312-6600



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,444	03/20/2001	Takashi Kobayashi	500.39879X00

CONFIRMATION NO. 9563

020457
ANTONELLI TERRY STOUT AND KRAUS
SUITE 1800
1300 NORTH SEVENTEENTH STREET
ARLINGTON, VA 22209

FORMALITIES LETTER



OC000000006105616

Date Mailed: 05/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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